

Appendix E – Completeness checklist

Applicants should provide the completed completeness checklist shown below as part of the submission when submitting a GMO application to EFSA.

Appendix E of this explanatory note supersedes Appendix G of EFSA (2013).

Specify the application number, event(s), plant species, intended trait(s), and the scope of the application for which literature searching is performed
Application number: Not applicable
Events: 40-3-2 or MON-Ø4Ø32-6 MON 87701 or MON-877Ø1-2 MON 87705 or MON-877Ø5-6 MON 87708 or MON-877Ø8-9 MON 87751 or MON-87751-7 MON 87769 or MON-87769-7 MON 89788 or MON-89788-1 MON 87701 × MON 89788 or MON-877Ø1-2 × MON 89788-1 MON 87705 × MON 89788 or MON-877Ø5-6 × MON 89788-1 MON 87708 × MON 89788 or MON-877Ø8-9 × MON 89788-1 MON 87769 × MON 89788 or MON-87769-7 × MON 89788-1 MON 87708 × MON 89788 × A5547-127 or MON-877Ø8-9 × MON 89788-1 × ACS-GMØØ6-4 MON 87751 × MON 87701 × MON 89788 × MON 87708 or MON-87751-7 × MON-877Ø1-2 × MON 89788-1 × MON-877Ø8-9
Plant species: soybean
Intended trait(s): <input checked="" type="checkbox"/> Herbicide tolerance: Dicamba (MON 87708, MON 87708 × MON 89788, MON 87708 × MON 89788 × A5547-127, MON 87751 × MON 87701 × MON 89788 × MON 87708) Glyphosate (40-3-2, MON 87701 × MON 89788, MON 87705 × MON 89788, MON 89788, MON 87708 × MON 89788, MON 87708 × MON 89788 × A5547-127, MON 87751 × MON 87701 × MON 89788 × MON 87708, MON 87769 × MON 89788) Glufosinate (MON 87708 × MON 89788 × A5547-127) <input checked="" type="checkbox"/> Insect resistance: Lepidopteran (MON 87701, MON 87701 × MON 89788, MON 87751, MON 87751 × MON 87701 × MON 89788 × MON 87708) <input checked="" type="checkbox"/> Others: Nutritionally improved fatty acid (MON 87705, MON 87705 × MON 89788), Stearidonic acid production (MON 87769, MON 87769 × MON 89788)
Scope: <input checked="" type="checkbox"/> Import/processing for food/feed uses <input type="checkbox"/> Cultivation
Specify the context in which literature searching is performed
<input type="checkbox"/> GMO applications for market authorisation submitted under Regulation (EC) No 1829/2003 <i>after</i> the Implementing Regulation (EU) No 503/2013 entered into force on 8 December 2013 → <i>Review type: Scoping review to substantiate decisions about the value of conducting full or "rapid" systematic literature reviews</i>
<input type="checkbox"/> GMO applications for market authorisation submitted under Regulation (EC) No 1829/2003 <i>before</i> the Implementing Regulation (EU) No 503/2013 entered into force on 8 December 2013 → <i>Review type: Extensive/systematic literature search</i>
<input checked="" type="checkbox"/> Annual PMEM reports on GMOs authorised in the EU market → <i>Review type: Extensive/systematic literature search</i>
<input type="checkbox"/> GMO applications for the renewed market authorisation of genetically modified (GM) food/feed authorised under Regulation (EC) No 1829/2003 → <i>Review type: Extensive/systematic literature search</i>

Recommendation	Recommendation followed by the applicant	Action required	Justification
Formulating review questions and clarifying their purpose			
Break review questions down into their key elements and specify them based on the recommendations given in Sections 3.1 and 3.1.1 of the Explanatory note [GMO check]	<input checked="" type="checkbox"/> Yes fully <input type="checkbox"/> No <input type="checkbox"/> Partially <input type="checkbox"/> Unclear	<input type="checkbox"/> None <input type="checkbox"/> Justification required	
Specify/report the eligibility/inclusion criteria for assessing the relevance of publications for inclusion in the literature review, with justification, based on the recommendations given in Section 3.1.2 of the Explanatory note [GMO check]	<input checked="" type="checkbox"/> Yes fully <input type="checkbox"/> No <input type="checkbox"/> Partially <input type="checkbox"/> Unclear	<input type="checkbox"/> None <input type="checkbox"/> Justification required	
Searching for/identifying relevant publications			
Search a minimum of <i>at least</i> two multi-disciplinary/large electronic bibliographic databases, based on the recommendations given in Section 3.2.1.1 of the Explanatory note [APDESK check]	<input checked="" type="checkbox"/> Yes fully <input type="checkbox"/> No <input type="checkbox"/> Partially <input type="checkbox"/> Unclear	<input type="checkbox"/> None <input type="checkbox"/> Justification required	
Search internet pages of relevant key organisations delivering risk assessment guidelines and other risk assessment documents, based on the recommendations given in Section 3.2.1.2 of the Explanatory note [APDESK check]	<input checked="" type="checkbox"/> Yes fully <input type="checkbox"/> No <input type="checkbox"/> Partially <input type="checkbox"/> Unclear	<input type="checkbox"/> None <input type="checkbox"/> Justification required	
Describe clearly how the search strategy is constructed, with justifications, based on the recommendations given in Section 3.2.2 of the Explanatory note [GMO check]	<input checked="" type="checkbox"/> Yes fully <input type="checkbox"/> No <input type="checkbox"/> Partially <input type="checkbox"/> Unclear	<input type="checkbox"/> None <input type="checkbox"/> Justification required	
Report the reference publications used for each of the key elements of the review question, and provide a rationale for their selection, based on the recommendations given in Section 3.2.2.8 of the Explanatory note [APDESK check]	<input checked="" type="checkbox"/> Yes fully <input type="checkbox"/> No <input type="checkbox"/> Partially <input type="checkbox"/> Unclear	<input type="checkbox"/> None <input type="checkbox"/> Justification required	
Summarising and reporting the data, and considering the implications of the findings			
Report the results of the publication selection process, using either Table 2 of the Explanatory note or a flowchart for each category of information/data requirement or group of information/data	<input checked="" type="checkbox"/> Yes fully <input type="checkbox"/> No <input type="checkbox"/> Partially <input type="checkbox"/> Unclear	<input type="checkbox"/> None <input type="checkbox"/> Justification required	

requirements searched, if appropriate [GMO check]			
Provide a list of the bibliographic references for all relevant publications, ordered by category of information/data requirement, using Table 3 of the Explanatory note [APDESK check]	<input checked="" type="checkbox"/> Yes fully <input type="checkbox"/> No <input type="checkbox"/> Partially <input type="checkbox"/> Unclear	<input type="checkbox"/> None <input type="checkbox"/> Justification required	
Provide a list of the bibliographic references for all excluded publications after detailed assessment of full-text documents for relevance, with justification for their exclusion, using Table 4 of the Explanatory note [GMO check]	<input checked="" type="checkbox"/> Yes fully <input type="checkbox"/> No <input type="checkbox"/> Partially <input type="checkbox"/> Unclear	<input type="checkbox"/> None <input type="checkbox"/> Justification required	
Provide a list of the bibliographic references for all unobtainable publications, with explanation why they could not be obtained, using Table 5 of the Explanatory note [GMO check]	<input type="checkbox"/> Yes fully <input checked="" type="checkbox"/> No <input type="checkbox"/> Partially <input type="checkbox"/> Unclear	<input type="checkbox"/> None <input type="checkbox"/> Justification required	No such publication is identified
Provide a list of the bibliographic references for all unclear publications, with explanation why they could not be classified, using Table 6 of the Explanatory note [GMO check]	<input type="checkbox"/> Yes fully <input checked="" type="checkbox"/> No <input type="checkbox"/> Partially <input type="checkbox"/> Unclear	<input type="checkbox"/> None <input type="checkbox"/> Justification required	No such publication is identified
Provide copies of the full-text documents listed in Table 3 of the Explanatory note, and the list of relevant publications compiled in a reference management software (.RIS format) [APDESK check]	<input checked="" type="checkbox"/> Yes fully <input type="checkbox"/> No <input type="checkbox"/> Partially <input type="checkbox"/> Unclear	<input type="checkbox"/> None <input type="checkbox"/> Justification required	
Present a narrative synthesis/summary of the relevant publication describing their overall volume, strength and direction per main category of information/data requirements, using Table 7 of the Explanatory note [only applicable to scoping reviews] [GMO check]	<input type="checkbox"/> Yes fully <input checked="" type="checkbox"/> No <input type="checkbox"/> Partially <input type="checkbox"/> Unclear	<input type="checkbox"/> None <input type="checkbox"/> Justification required	Not required as annual post-market environmental monitoring
Discuss the implications of each publication that is clearly relevant to the risk assessment of the GMO under consideration, for each of the relevant categories of information/data requirements, using Table 8 of the Explanatory note [GMO check]	<input checked="" type="checkbox"/> Yes fully <input type="checkbox"/> No <input type="checkbox"/> Partially <input type="checkbox"/> Unclear	<input type="checkbox"/> None <input type="checkbox"/> Justification required	